

Pensionskasse Merck & Cie · Im Laternenacker 5 · 8200 Schaffhausen · Switzerland

Unites States Bankruptcy Court
Southern District of New York
One Bowling Green
New York NY 10004-1408
United States of America

Date	February 24, 2107
Division/Dept.	Pension Fund
Care of	Andreas Furger
Phone	+41 52 630 72 03
Fax	+41 52 630 72 55
E-Mail	andreas.furger@merckgroup.com

In re Lehmann Brothers Holding, Inc. Case 08-13555

Dear Sir's

Enclosed please find enclosed the following documents

- duly signed document Transfer of Claim other than for Security
- *duly signed document* Evidences of Transfer of Claim
- Schedule 1


The mentioned Lehmann Products are now posted in our bank. We kindly request you to process this transfer.

If you have questions, do not hesitate to contact us.

Kind regards

Pension Fund Merck & Cie

Andreas Furger
Chairman of a foundation council


Dr. Rudolf Moser
Member of a foundation council



Pensionskasse Merck & Cie

Im Laternenacker 5
8200 Schaffhausen · Switzerland
Phone +41 52 630 72 72
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Bankverbindung
Bank Vontobel AG
Konto Nr. 1612006.001
IBAN CH16 0875 7000 0126 1783 7 (USD)

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Pensionskasse Merck & Cie
Name of Transferee

Notenstein La Roche Privatbank AG
Name of Transferor

Name and Address where notices to transferee
should be sent:
Pensionskasse Merck & Cie

Court Claim # (if known): 55829
Amount of Claim: Note: This is a Partial
Transfer of Claim. See
attached 1 Evidence of
Transfer of Claim for
Details

Laternenacker 5
8200 Schaffhausen
Switzerland

Date Claim Filed: 10/29/2009

Phone: _____
Email: _____
Last Four Digits of Acct #: n/a

Phone: 0071 41 242 59 19
Last Four Digits of Acct. #: n/a

Name and Address where transferee payments
should be sent (if different from above):

Phone: _____
Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Pensionskasse
Merck & Cie
8200 Schaffhausen

By: 
Transferee/Transferee's Agent

Date: February 23, 2017

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Notenstein La Roche Privatbank AG ("Transferor") unconditionally and irrevocably transferred to Pensionskasse Merck & Cie ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 555829.62**) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON February 20th, 2017.

Notenstein La Roche Privatbank AG

By: A. Zingg

Name: August Zingg

Title: Associate

By: R. Knöpfel

Name: Roger Knöpfel

Title: Associate



Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0200265709	55829	October 29, 2009	Lehman Brothers Treasury Co. BV	CHF 400'000.--